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NOTICE OF ALLOWANCE AND FEE(S) DUE

27488

7590

09/30/2008

MERCHANT & GOULD (MICROSOFT) P.O. BOX 2903 MINNEAPOLIS, MN 55402-0903 EXAMINER

TRAN, TONGOC

ART UNIT PAPER NUMBER

2134

DATE MAILED: 09/30/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/817.154 | 04/01/2004 | John Hal Howard | 14917.0465US01 | 1172 |

TITLE OF INVENTION: AUTHENTICATION BROKER SERVICE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1440 | \$300 | \$0 | \$1740 | 12/30/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

| indicated unless correcte maintenance fee notifica | ed below or directed oth tions. | erwise in Block 1, by (a | a) specifying a new corre | spondence address; | and/or (b) indicating a sepa | correspondence address as arate "FEE ADDRESS" for | |
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| CURRENT CORRESPOND | Fee par | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | | | |
| P.O. BOX 2903 | 7590 09/30, & GOULD (MICF 5, MN 55402-0903 | | Sta add | ereby certify that this tes Postal Service wi cressed to the Mail | ificate of Mailing or Trans is Fee(s) Transmittal is being th sufficient postage for fir Stop ISSUE FEE address O (571) 273-2885, on the d | eing deposited with the United first class mail in an envelope ess above, or being facsimile | |
| | | | | | | (Depositor's name) | |
| | | | <u> </u> | | | (Signature) | |
| | _ | | L | | | (Date) | |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | 2 | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
| 10/817,154 TITLE OF INVENTION | 04/01/2004 : AUTHENTICATION I | BROKER SERVICE | John Hal Howard | | 14917.0465US01 | 1172 | |
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| EXAM | IINER | ART UNIT | CLASS-SUBCLASS |] | | | |
| TRAN, T | ONGOC | 2134 | 713-155000 | _ | | | |
| ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON | | | or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | |
| PLEASE NOTE: Unl | less an assignee is identi h in 37 CFR 3.11. Comp | fied below, no assignee | • • | patent. If an assigne assignment. | e is identified below, the d | ocument has been filed for | |
| Please check the appropr | iate assignee category or | categories (will not be pr | rinted on the patent): | Individual 🖵 Cor | poration or other private gro | oup entity 🗖 Government | |
| 4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | ☐ A check is enclosed. ☐ Payment by credit ca ☐ The Director is hereb | rd. Form PTO-2038 y authorized to charg | y previously paid issue fee is attached. te the required fee(s), any decomposition (enclose a | eficiency, or credit any | |
| 5. Change in Entity Star a. Applicant claim | tus (from status indicated is SMALL ENTITY statu | | b. Applicant is no lor | nger claiming SMAL | L ENTITY status. See 37 C | FR 1.27(g)(2). | |
| NOTE: The Issue Fee an interest as shown by the | d Publication Fee (if requ records of the United Sta | uired) will not be accepte tes Patent and Trademark | d from anyone other than Office. | the applicant; a regis | tered attorney or agent; or the | ne assignee or other party in | |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | e | | | Registration No |) | | |
| submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | d application form to the ions for reducing this bur /irginia 22313-1450. DO:13-1450. | USPTO. Time will vary den, should be sent to th NOT SEND FEES OR | depending upon the indi- e Chief Information Offic COMPLETED FORMS T | vidual case. Any cor er, U.S. Patent and T O THIS ADDRESS. | e public which is to file (an inutes to complete, includir ments on the amount of ti- rademark Office, U.S. Dep SEND TO: Commissioner isplays a valid OMB control | d by the USPTO to process) ng gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, | |



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| 27488 75 | 27488 7590 09/30/2008 | | | EXAMINER | | |
| MERCHANT & | GOULD (MICROSO | TRAN, TONGOC | | | | |
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| | | | DATE MAILED: 09/30/2008 | | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 958 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 958 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

| | Application No. | Applicant(s) | |
|--|---|---|-------|
| | 10/817,154 | HOWARD ET AL. | |
| Notice of Allowability | Examiner | Art Unit | |
| | TONGOC TRAN | 2134 | |
| | TONGOC TRAN | 2134 | |
| The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31 | S (OR REMAINS) CLOSED) or other appropriate comm RIGHTS. This application is | in this application. If not included nunication will be mailed in due course | |
| 1. ☑ This communication is responsive to <u>8/18/2008</u> . | | | |
| 2. ☑ The allowed claim(s) is/are <u>1-43</u> . | | | |
| 3. Acknowledgment is made of a claim for foreign priority u a) All b) Some* c) None of the: 1. Certified copies of the priority documents hav | | or (f). | |
| 2. ☐ Certified copies of the priority documents hav | | on No | |
| Copies of the certified copies of the priority do | • | | m the |
| International Bureau (PCT Rule 17.2(a)). | bedinents have been receive | ad in this hational stage application he | |
| * Certified copies not received: | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | e a reply complying with the requirem | ents |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | OF |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mu | st be submitted. | | |
| (a) ☐ including changes required by the Notice of Draftsper | son's Patent Drawing Revie | ew (PTO-948) attached | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | _• | | |
| (b) ☐ including changes required by the attached Examiner Paper No./Mail Date | 's Amendment / Comment o | or in the Office action of | |
| Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in | | | of |
| DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT | | | e |
| | | | |
| Attachment(s) | 5 □ Nation of I | nformal Datant Application | |
| Notice of References Cited (PTO-892) Dotice of Draftperson's Patent Drawing Review (PTO-948) | | nformal Patent Application Summary (PTO-413), | |
| | Paper No | ./Mail Date s Amendment/Comment | |
| Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date | r. □ Examiners | Amendment/Comment | |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material | _ | s Statement of Reasons for Allowance | • |
| | 9. 🔲 Other | | |
| | /Kambiz Zand Supervisory Pa | d/ atent Examiner, Art Unit 2134 | |
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